

JC  
Sent By: POLSTER LIEDER ;

3142382401;

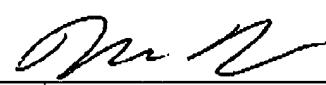
JCWSCS 26 MAY 2004

May-26-04 1:06PM;

Page 1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	Lamke <i>et al.</i>	I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office Fax No. (703) 746-9195 on May 26, 2004.  Nelson D. Nolte, Reg. No. 42,938
SERIAL NO.:	10/787,508 or 10/787,494 (see below)	
FILED:	February 25, 2004	
EXAMINER:		
DOCKET NO.:	LUMA 7366D1	
ART UNIT:		
FOR:	LED LAMP ASSEMBLY	

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTED FILING RECEIPT OR  
CLARIFICATION OF PROPER SERIAL NUMBER**

Commissioner for Patents:

In the filing receipt (copy enclosed) mailed May 18, 2004, Applicants note that Application Serial No. 10/787,494 was assigned. However, Applicants had previously received their return receipt postcard (copy attached) back from the PTO with Application Serial No. 10/787,508 assigned.

Additionally, after receiving the postcard, Applicants submitted an Information Disclosure Statement with the '508 serial number identified.

It is noted that neither serial number is shown associated with Applicants' attorney's customer number within the private PAIR system.

Page 1 of 2

Therefore, it is requested that the Office either correct the filing receipt to indicate the '508 serial number or provide an indication to Applicants' attorney that the '494 serial number is the correct serial number so that an Information Disclosure Statement can be resubmitted with the proper serial number.

Respectfully submitted,



Nelson D. Nolte, Reg. No. 42,938  
Polster, Lieder, Woodruff & Lucchesi, LC  
12412 Powerscourt Dr. Ste. 200  
St. Louis, Missouri 63131  
Tel: (314) 238-2400  
Fax: (314) 238-2401

Page 2 of 2

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPL NO.	FILING OR 371 (O) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/787,494	02/25/2004	2875	385	POLSTER, LIEDER X366D1 WOODRUFF & LUCCHESI	2	6	1

MAY 21 2004  
 RECEIVED

CONFIRMATION NO. 7294

FILING RECEIPT



\*OC000000012697384\*

Nelson D. Nolte  
 Polster, Lieder, Woodruff & Lucchesi, L.C.  
 Suite 200  
 12412 Powerscourt Dr.  
 St. Louis, MO 63131-3615

Date Mailed: 05/18/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Isidore I. Lamke, Washington, MO;  
 Robert D. Plummer, St. Clair, MO;  
 Michael E. Dinan, Beaufort, MO;  
 Eugene P. Reinhold, Kirkwood, MO;

**Domestic Priority data as claimed by applicant**

This application is a DIV of 09/521,808 03/09/2000 PAT 6,739,733

**Foreign Applications**

If Required, Foreign Filing License Granted: 05/17/2004

Projected Publication Date: 08/26/2004

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

LED lamp assembly

Preliminary Class

362

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED; FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

In re Application of: Isidore I. Lamke  
Title: LED LAMP ASSEMBLY

22859 U.S. PTO  
10/787508

Enclosed are

022504

- 10 pages of specification
- 4 pages of claims
- 1 page Abstract
- 2 sheets of formal drawings
- Copy of Executed Combined Declaration and Power of Attorney
- Preliminary Amendment
- A check in the amount of \$385

Express Mail No. EV 383194574 US  
NDN:sj  
LUMA 7366D1

February 25, 2004

Polster, Lieder, Woodruff & Lucchesi, L.C.  
12412 Powerscourt Drive, Suite 200  
St. Louis, Missouri 63131-3615



Cerro Pedernal Canyon National Park, NM

PAGE 6/6 \* RCVD AT 5/26/2004 3:01:38 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-24 \* DNIS:7469195 \* CSID:3142382401 \* DURATION (mm:ss)01:34

BEST AVAILABLE COPY